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Inventors: Walter H. Gunzburg, David Winder and Robert Saller  
Title: VECTORS CARRYING THERAPEUTIC GENES ENCODING ANTIMICROBIAL PEPTIDES  
FOR GENE THERAPY

Specification, Claims, Abstract of the Disclosure

16 sheets of ~~XXXXXX~~ informal drawings. (Figs. 1, 2, 3, 4A-4C, 5A-5C, 6, 7,  
8A-8B, 9A-9G, 10A-10F, 11, 12, 13A-13B)

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Respectfully submitted,

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-1-

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Inventors: Walter H. Günzburg, David Winder  
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Attorney's Docket No.: GSF97-03

VECTORS CARRYING THERAPEUTIC GENES ENCODING  
ANTIMICROBIAL PEPTIDES FOR GENE THERAPY

RELATED APPLICATIONS

This is a continuation application of PCT/EP96/01001  
5 filed March 8, 1996, which claims priority to Danish patent  
application DK 0243/95 filed March 9, 1995. The contents  
of PCT/EP96/01001 and DK 0243/95 are incorporated herein by  
reference in their entirety.

BACKGROUND OF THE INVENTION

10 The introduction of therapeutic genes into cells for  
the treatment of diseases as diverse as those resulting  
from genetic defects, cancer and viral infections is the  
major aim of gene therapy. Cancer and diseases such as  
AIDS resulting from infection with human immunodeficiency  
15 virus (HIV) are particularly difficult to treat even though  
a number of clinical protocols are presently underway that  
use gene therapeutical approaches. The amphipathic peptide  
melittin, the major component of bee venom, has been shown  
to have selective anti-cancer (Sharma, S.V., *Oncogene*,  
20 7:193-201 (1992); Sharma, S.V., *Oncogene*, 8:939-947 (1993))  
and anti-HIV activity (Wachinger et al., *FEBS Lett.*,  
309:235-241 (1992)); U.S. Patent No. 4,822,608 of Benton et

COPY

-2-

al. and WO patent application 91/08753 of Erfle et al. both relate to these therapeutic properties.

U.S. Patent No. 4,822,608 issued to Benton et al. on April 18, 1989 and entitled "METHODS AND COMPOSITIONS FOR THE TREATMENT OF MAMMALIAN INFECTIONS EMPLOYING MEDICAMENTS COMPRISING HYMENOPTERA VENOM OR PROTEINACEOUS OR POLYPEPTIDE COMPONENTS THEREOF" teaches that secondary agents derived from nature such as hymenoptera venom or proteinaceous or polypeptide components thereof has a potentiating effect on antibacterial agents. This reference further suggests that such compositions may also have increased anti-viral, carcinostatic and anti-carcinogenic effects on various maladies. More particularly, the reference to Benton et al. discloses the use of melittin which is the main component of honey bee toxin, in combination with assorted antibiotic agent as having antibacterial activity against predetermined infections. Further this reference teaches that a synergistic benefit may be achieved by the combination of the melittin and assorted antibiotics in various therapeutically effective amounts.

WO patent application 91/08753 of Erfle et al. relates to a method and composition for the treatment of mammalian HIV infections, and more particularly to such a method and composition for treating mammalian HIV infections which employs hymenoptera venom, or proteinaceous or polypeptide components thereof and which is introduced into the mammalian hosts and which are individually operable to restrict or substantially inhibit the virus replication in the HIV infected cells of the mammal.

In these studies purified melittin peptide was given to cells in culture which, though useful for experimental purposes, is not relevant for therapy. Even in vivo

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-3-

administration of purified melittin protein (for example i.v.) is probably not advisable because of the relatively high concentrations and repeated doses that would be required to maintain therapeutic levels. Further, since  
5 this kind of generalized delivery would result in the amphipathic peptide reaching not only target cells but also other cells, thereby potentially resulting in undesirable side effects, it would be advantageous to be able to target the delivery of melittin or other antimicrobial peptides,  
10 in particular the melittin peptide and the peptides mentioned below.

A second class of therapeutic genes of interest are the cecropins isolated from the pupae of giant silk moths (Bowman, H.G., *Ann. Rev. Immunol.*, 13:1-51 (1995), Hultmark  
15 et al., *Eur. J. Biochem.*, 106:7-16 (1980)). There are three principal cecropins, A, B and D with a similar structure to melittin (Hultmark et al., *Eur. J. Biochem.*, 127:207-217 (1982)). Cecropins A and B show specific antibacterial activity without any apparent ill effects for  
20 mammalian cells (Steiner H. et al., *Nature*, 292:246-248 (1991)). Recently Moore and coworkers have shown that the cecropin B, P and Shiva-1 antibacterial peptides show anticancer activity against a variety of tumour cell lines (Moore, A.J. et al., *Peptide Research*, 7:265-269 (1994)).

25 Cecropins were first isolated from the hemolymph of the giant silk moth, *Hyalophora cecropia*, following induction by live non-pathogenic bacteria. The principal insect cecropins (A, B and D) are 35 to 37 residues long, devoid of cysteine and have a strongly basic N-terminus  
30 linked to a neutral C-terminus by a flexible glycine-proline link. The overall structure deduced by NMR for cecropin A is two nearly perfect amphipathic segments joined by a Gly-Pro hinge. A cecropin-like 31-residue

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peptide (cecropin P<sub>1</sub>), isolated from the small intestine of a pig (Lee et al., *Proc. Natl. Acad. Sci. USA*, 86:9159-9162 (1989)), suggests that the cecropins may be widespread throughout the animal kingdom. The mechanism of action of the cecropins is thought to involve channel formation in membranes and subsequent lysis.

SB-37 (a close cecropin B analogue) and Shiva-1 (a cecropin B analogue that shares about 40% sequence homology and maintains the same charge distribution and hydrophobicity as the peptide) have been shown to lyse several mammalian leukemia and lymphoma cell lines *in vitro*. The publication of Moore, A.J. et al., *Peptide Research*, 7:265-269 (1994) is incorporated herein by reference for complete disclosure. Similar antitumour effects have been demonstrated for the magainins, a related group of antimicrobial peptides (Cruciani, R.A. et al., *Proc. Natl. Acad. Sci. USA*, 88:3792-3796 (1991); Ohaski, Y. et al., *Cancer Research*, 52:3534-3538, (1992)).

The use of retroviral vectors (RV) for gene therapy has received much attention and currently is the method of choice for the transferral of therapeutic genes in a variety of approved protocols both in the USA and in Europe (Kotani, H. et al., *Human Gene Therapy*, 5:19-28 (1994)). However most of these protocols require that the infection of target cells with the RV carrying the therapeutic gene occurs *in vitro*, and successfully infected cells are then returned to the affected individual (Rosenberg, S.A. et al., *Human Gene Therapy*, 3:75-90 (1992); for a review see Anderson, W.F., *Science*, 256:808-813 (1992)). Such *ex vivo* gene therapy protocols are ideal for correction of medical conditions in which the target cell population can be easily isolated (e.g. lymphocytes). Additionally the *ex vivo* infection of target cells allows the administration of

COPY

-5-

large quantities of concentrated virus which can be rigorously safety tested before use.

Unfortunately, only a fraction of the possible applications for gene therapy involve target cells that can be easily isolated, cultured and then reintroduced. Additionally, the complex technology and associated high costs of *ex vivo* gene therapy effectively preclude its disseminated use world-wide. Future facile and cost-effective gene therapy will require an *in vivo* approach in which the viral vector, or cells producing the viral vector, are directly administered to the patient in the form of an injection or simple implantation of RV producing cells.

This kind of *in vivo* approach, of course, introduces a variety of new problems. First of all, and above all, safety considerations have to be addressed. Virus will be produced, possibly from an implantation of virus producing cells, and there will be no opportunity to precheck the produced virus. It is important to be aware of the finite risk involved in the use of such systems, as well as trying to produce new systems that minimize this risk.

The essentially random integration of the proviral form of the retroviral genome into the genome of the infected cell led to the identification of a number of cellular proto-oncogenes by virtue of their insertional activation (Varmus, H., *Science*, 240:1427-1435 (1988)). The possibility that a similar mechanism may cause cancers in patients treated with RVs carrying therapeutic genes intended to treat other pre-existent medical conditions, has posed a recurring ethical problem. Most researchers would agree that the probability of a replication defective RV, such as all those currently used, integrating into or near a cellular gene involved in controlling cell

COPY

-6-

proliferation is vanishingly small. However, it is generally also assumed that the explosive expansion of a population of replication competent retrovirus from a single infection event, will eventually provide enough  
5 integration events to make such a phenotypic integration a very real possibility.

Retroviral vector systems are optimized to minimize the chance of replication competent virus being present. However, it has been well documented that recombination  
10 events between components of the RV system can lead to the generation of potentially pathogenic replication competent virus and a number of generations of vector systems have been constructed to minimize this risk of recombination (reviewed in Salmons, B. and Günzburg, W.H., *Human Gene*  
15 *Therapy*, 4:129-141 (1993)). However little is known about the finite probability of these events. Since it will never be possible to reduce the risk associated with this or other viral vector systems to zero, an informed risk-benefit decision will always have to be taken. Thus it  
20 becomes very important to empirically determine the chance of (1) insertional disruption or activation of single genes by retrovirus integration and (2) the risk of generation of replication competent virus by recombination in current generations of packaging cell lines. A detailed  
25 examination of the mechanism by which these events occur will also allow the construction of new types of systems designed to limit these events.

A further consideration for practical *in vivo* gene therapy, both from safety considerations as well as from an  
30 efficiency and from a purely practical point of view, is the targeting of RVs. It is clear that therapeutic genes carried by vectors should not be indiscriminately expressed in all tissues and cells, but rather only in the requisite



COPY

-7-

target cell. This is especially important if the genes to be transferred are toxin genes aimed at ablating specific tumour cells. Ablation of other, nontarget cells would obviously be very undesirable. Targeting of the expression  
5 of carried therapeutic genes can be achieved by a variety of means.

Retroviral vector systems consist of two components (Figure 1):

10 1) the retroviral vector itself is a modified retrovirus (vector plasmid) in which the genes encoding for the viral proteins have been replaced by therapeutic genes optionally including marker genes to be transferred to the target cell. Since the replacement of the genes encoding for the viral  
15 proteins effectively cripples the virus it must be rescued by the second component in the system which provides the missing viral proteins to the modified retrovirus.

The second component is:

20 2) a cell line that produces large quantities of the viral proteins, however lacks the ability to produce replication competent virus. This cell line is known as the packaging cell line and consists of a cell line transfected with a second plasmid carrying  
25 the genes enabling the modified retroviral vector to be packaged. This plasmid directs the synthesis of the necessary viral proteins required for virion production.

To generate the packaged vector, the vector plasmid is  
30 transfected into the packaging cell line. Under these conditions the modified retroviral genome including the

COPY

-8-

inserted therapeutic and optional marker genes is transcribed from the vector plasmid and packaged into the modified retroviral particles (recombinant viral particles). A cell infected with such a recombinant viral particle cannot produce new vector virus since no viral proteins are present in these cells. However the vector carrying the therapeutic and marker genes is present and these can now be expressed in the infected cell.

## SUMMARY OF THE INVENTION

10 It is an object of the present invention to provide a novel therapeutic agent with antitumour, antiviral, antibacterial and/or antifungal activities.

It is a further object of the present invention to provide a novel therapeutic agent with high selectivity for  
15 selected target cells and reduced nondesirable side effects.

To achieve the foregoing and other objects, the present invention provides a recombinant vector for introducing into an eucaryotic cell DNA, the vector  
20 comprising, in operable linkage, a) the DNA of or corresponding to at least a portion of a vector, which portion is capable of infecting and directing the expression in the target cells; and b) one or more coding sequences wherein at least one sequence encodes for at  
25 least one naturally occurring therapeutic antimicrobial peptide or a derivative thereof for the treatment of at least one disease, selected from mammalian tumours, viral, bacterial and fungal infections. Said sequence is preferably replication-defective.

30 Said sequence encoding a naturally occurring therapeutic antimicrobial peptide or derivative thereof encodes for the amino acid sequences of all, part, an

COPY

-9-

analogue, homologue, recombinant or combination thereof of such antimicrobial peptide.

Said sequences comprise preferably also non-coding sequences.

5 The antimicrobial peptides or derivatives thereof include but are not limited to those encoding melittin, the various cecropins and magainins. Further included are the apidaecin and defensin peptides or derivatives thereof. These genes may be expressed in their preproform or  
10 alternatively in a genetically engineered preform or in another form which renders a biological active peptide or a derivative thereof.

Said sequence is preferably a recombinant molecule coding for the amino acid sequences of all, part, and  
15 analogue, homologue, derivative, recombinant or combination thereof of the melittin, cecropin, magainin, apideacin and defensin genes.

As discussed in detail in the prior art reference to Benton et al., melittin, the main component in honey bee  
20 toxin is a polypeptide which includes substantially 26 amino acid residues. These amino acid residues include, Gly-Ile-Gly-Ala-Val-Leu-Lys-Val-Leu-Thr-Thr-Gly-Leu-Pro-Ala-Leu-Ile-Ser-Trp-Ile-Lys-Arg-Lys-Arg-Gln-Gln (SEQ ID NO: 1). Moreover, melittin analogues wherein at least the last  
25 six (C-terminal) amino acids is altered and replaced by six glycine residues appear to have a therapeutic benefit similar to melittin, these amino acid analogues having a structure of Gly-Ile-Gly-Ala-Val-Leu-Lys-Val-Leu-Thr-Thr-Gly-Leu-Pro-Ala-Leu-Ile-Ser-Trp-Ile-Gly-Gly-Gly-Gly-Gly  
30 (SEQ ID NO: 2). The use of melittin for treatment of HIV infections is disclosed in WO patent application 91/08753 of Erfle et al., which is incorporated herein by reference for complete disclosure. According to a preferred

COPY

-10-

embodiment of the invention said structural analogue of melittin is Gly-Ile-Gly-Ala-Val-Leu-Lys-Val-Leu-Thr-Thr-Gly-Leu-Pro-Ala-Leu-Ile-Ser-Trp-Ile-Gly-Gly-Gly-Gly-Gly (SEQ ID NO: 2) or, according to a further preferred  
5 embodiment, Amfi 1 or 2 and peptides of GP 41 that are melittin-like.

According to a further preferred embodiment of the invention, the sequence encoding a therapeutic  
10 antimicrobial peptide is coding for a hymenoptera venom, at least one active protein component of a hymenoptera venom, at least one polypeptide component of a hymenoptera venom, and mixtures thereof.

The hymenoptera gene is preferably selected from the group consisting of genes coding for honey bee venom,  
15 bumble bee venom, yellow jacket venom, bald-faced hornet venom, active protein components of said venom, active protein components of said venom, and mixtures thereof.

Furthermore, the structural analogues of melittin include an amphophilic helix with or without signal peptide  
20 and activation domains.

In a preferred embodiment, the recombinant vector is selected from viral and plasmid vectors. Examples for viral vectors are RNA and DNA virus vectors. A particularly preferred RNA virus vector is a retrovirus  
25 vector, more particularly a procon vector. Examples for DNA virus vectors are adenoviruses, adenovirus associated viruses and herpes viruses derived vectors. The plasmid vectors include all eucaryotic expression vectors.

In a preferred embodiment of the invention, the  
30 recombinant vector is a retroviral vector.

The retroviral genome consists of an RNA molecule with the structure R-U5-gag-pol-env-U3-R (Figure 1). During the process of reverse transcription, the U5 region is

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-11-

5 duplicated and placed at the right hand end of the  
generated DNA molecule, whilst the U3 region is duplicated  
and placed at the left hand end of the generated DNA  
molecule (Figure 1). The resulting structure U3-R-U5 is  
called LTR (Long Terminal Repeat) and is thus identical and  
repeated at both ends of the DNA structure or provirus.  
The U3 region at the left hand end of the provirus harbours  
the promoter. This promoter drives the synthesis of an RNA  
transcript initiating at the boundary between the left hand  
10 U3 and R regions and terminating at the boundary between  
the right hand R and U5 region (Figure 1). This RNA is  
packaged into retroviral particles and transported into the  
target cell to be infected. In the target cell the RNA  
genome is again reverse transcribed as described above.

15 According to another embodiment of the invention a  
promoter conversion vector (procon vector) can be  
constructed in which the righthand U3 region is altered  
(Figure 3), but the normal lefthand U3 structure is  
maintained (Figure 3); the vector can be normally  
20 transcribed into RNA utilizing the normal retroviral  
promoter located within the left hand U3 region (Figure 3).  
However the generated RNA will only contain the altered  
righthand U3 structure. In the infected target cell, after  
reverse transcription, this altered U3 structure will be  
25 placed at both ends of the retroviral structure (Figure 3).

If the altered region carries a polylinker instead of  
the U3 region then any promoter, including those directing  
tissue specific expression such as the WAP promoter can be  
easily inserted. This promoter will then be utilized  
30 exclusively in the target cell for expression of linked  
genes carried by the retroviral vector. Alternatively or  
additionally DNA segments homologous to one or more

COPY

-12-

cellular sequences can be inserted into the polylinker for the purpose of gene targeting.

In the packaging cell line the expression of the retroviral vector is thus regulated by the normal unselective retroviral promoter (Figure 3). However as soon as the vector enters the target cell promoter conversion occurs, and the therapeutic genes are expressed from a tissue specific promoter of choice introduced into the polylinker (Figure 3). Not only can virtually any tissue specific promoter be included in the system, providing for the selective targeting of a wide variety of different cell types, but additionally, following the conversion event, the structure and properties of the retroviral vector no longer resembles that of a virus. This, of course, has extremely important consequences from a safety point of view, since ordinary or state of the art retroviral vectors readily undergo genetic recombination with the packaging vector to produce potentially pathogenic viruses. Promoter conversion (Procon) vectors do not resemble retroviruses because they no longer carry U3 retroviral promoters after conversion thus reducing the possibility of genetic recombination inserted into the polylinker for the purposes of gene targeting.

For a complete disclosure of the procon vectors, the content of the Danish application DK 1017/94, filed on September 2, 1994 is completely included within the present application or incorporated herein by reference.

Thus in a further preferred embodiment of the invention a retroviral vector undergoing promoter conversion (procon vector) is provided comprising a 5'LTR region of the structure U3-R-U5; one or more coding sequences wherein at least one of said coding sequences encodes a naturally occurring antimicrobial peptide or a

derivative thereof (part, an analogue, homologue, recombinants or a combination thereof of such antimicrobial gene) for the treatment of at least one disease selected from mammalian tumours, viral infections, bacterial infections and fungal infections; and a 3'LTR region comprising a completely or partially deleted U3 region wherein said deleted U3 region is replaced by a polylinker sequence, followed by the R and U5 region.

And in a further preferred embodiment a retroviral vector is provided wherein said retrovirus vector includes, in operable linkage, a 5'LTR region and a 3'LTR region, said 5'LTR region comprising the structure U3-R-U5 and said 3'LTR region comprising a completely or partially deleted U3 region wherein said deleted U3 region is replaced by one or more of said coding sequences wherein at least one sequence encodes for at least one naturally occurring therapeutic antimicrobial peptide or a derivative thereof for the treatment of at least one disease, selected from mammalian tumours, viral, bacterial and fungal infections expressed from either the viral or a heterologous promoter, followed by the R and U5 region.

According to the invention the term "polylinker" is used for a short stretch of artificially synthesized DNA which carries a number of unique restriction sites allowing the easy insertion of any promoter or DNA segment. The term "heterologous" is used for any combination of DNA sequences that is not normally found intimately associated in nature. According to the invention, the heterologous DNA fragment can encode for example a peptide such as a marker peptide (e.g.,  $\beta$ -galactosidase, neomycin, alcohol dehydrogenase, puromycin, hypoxanthine phosphoribosyl transferase (HPRT), hygromycin, and secreted alkaline phosphatase), a therapeutic peptide (e.g., herpes simplex

COPY

-14-

virus thymidine kinase, cytosine deaminase, guanine phosphoribosyl transferase (gpt), and cytochrome P 450), a cell cycle regulatory peptide (e.g., P.T.O., SDI), a tumor suppressor peptide (e.g., p53), an antiproliferation peptide and a cytokine (e.g., IL-2).

With reference to the procon vectors, said polylinker sequence carries at least one unique restriction site and contains preferably at least one insertion of a heterologous DNA fragment. Said heterologous DNA fragment is preferably selected from regulatory elements and promoters, preferably being target cell specific in their expression. The retroviral promoter structure is termed LTR. LTR's carry signals that allow them to jump in and out of the genome of the target cell. Such jumping transposable elements can also contribute to pathogenic changes. Procon vectors can carry modified LTR's that no longer carry the signals required for jumping. Again this increases the potential safety of these vector systems.

Gene expression is regulated by promoters. In the absence of promoter function a gene will not be expressed. The normal MLV retroviral promoter is fairly unselective in that it is active in most cell types. However a number of promoters exist that show activity only in very specific cell types. Such tissue-specific promoters will be the ideal candidates for the regulation of gene expression in retroviral vectors, limiting expression of the therapeutic genes to specific target cells.

The target cell specific regulatory elements and promoters are preferably, but not limited to one or more elements of the group consisting of, Whey Acidic Protein (WAP), Mouse Mammary Tumour Virus (MMTV),  $\beta$ -lactoglobulin and casein specific regulatory elements and promoters, which may be used to target human mammary tumours, pancreas



COPY

-15-

specific regulatory elements and promoters including carbonic anhydrase II and  $\beta$ -glucokinase regulatory elements and promoters, lymphocyte specific regulatory elements and promoters including human immunodeficiency virus (HIV), immunoglobulin and MMTV lymphocytic specific regulatory elements and promoters and MMTV specific regulatory elements and promoters such as <sup>MMTV</sup>P2 conferring responsiveness to glucocorticoid hormones or directing expression to the mammary gland, T-cell specific regulatory elements and promoters such as T-cell receptor gene and CD4 receptor promoter and B-cell specific regulatory elements and promoters such as immunoglobulin promoter or mb1. Said regulatory elements and promoters regulate preferably the expression of at least one of the coding sequences of said retroviral vector.

The LTR regions are preferably, but not limited, selected from at least one element of the group consisting of LTR's of Murine Leukaemia Virus (MLV), Mouse Mammary Tumour Virus (MMTV), Murine Sarcoma Virus (MSV), Simian Immunodeficiency Virus (SIV), Human Immunodeficiency Virus (HIV), Human T-cell Leukaemia Virus (HTLV), Feline Immunodeficiency Virus (FIV), Feline Leukaemia Virus (FELV), Bovine Leukaemia Virus (BLV), and Mason-Pfizer-Monkey Virus (MPMV).

The antimicrobial genes of the present invention will be placed under the transcriptional control of for instance the HIV promoter or a minimal promoter placed under the regulation of the HIV tat responsive element (TAR) to target HIV infected cells. Targeting will be achieved because the HIV promoter is dependent upon the presence of Tat, and HIV encoded autoregulatory protein (Haseltine, W.A., *FASEB J.*, 5:2349-2360 (1991)). Thus only cells infected with HIV and therefore expressing Tat will be able

**Table 1.** Mean values of the variables measured during the 60-min test

	Mean ± SD
Age (years)	27.9 ± 1.8
Height (cm)	178.2 ± 5.5
Weight (kg)	75.2 ± 10.5
VO <sub>2max</sub> (l·min <sup>-1</sup> )	3.6 ± 0.4
VO <sub>2</sub> at rest (l·min <sup>-1</sup> )	1.2 ± 0.2
VO <sub>2</sub> at 30 min (l·min <sup>-1</sup> )	2.8 ± 0.3
VO <sub>2</sub> at 60 min (l·min <sup>-1</sup> )	2.5 ± 0.3
HR at rest (beats·min <sup>-1</sup> )	72 ± 10
HR at 30 min (beats·min <sup>-1</sup> )	155 ± 15
HR at 60 min (beats·min <sup>-1</sup> )	150 ± 15
RPE at 30 min	12.5 ± 1.5
RPE at 60 min	11.5 ± 1.5
ΔRPE (RPE at 60 min - RPE at 30 min)	-1.0 ± 1.0

The packaging cell line harbours retroviral or recombinant retroviral constructs coding for those retroviral proteins which are not encoded in said

COPY

-17-

retroviral vector. The packaging cell line is preferably selected from an element of the group consisting of  $\psi$ 2,  $\psi$ -Crip,  $\psi$ -AM, GP+E-86, PA317 and GP+envAM-12.

After replicating the retroviral vector of the  
5 invention as described above in a retroviral vector system as described above, a retroviral provirus is provided wherein said polylinker and any sequences inserted in said polylinker in the 3'LTR become duplicated during the process of reverse transcription in the infected target  
10 cell and appear in the 5'LTR as well as in the 3'LTR of the resulting provirus.

The retroviral vector of the invention refers to a DNA sequence retroviral vector on the DNA sequence level.

The invention includes, however, also a retroviral  
15 provirus and mRNA of a retroviral provirus according to the invention and any RNA resulting from a retroviral vector according to the invention and cDNAs thereof.

A further embodiment of the invention provides non-therapeutical or therapeutical method for introducing  
20 homologous and/or heterologous nucleotide sequences into human or animal cells *in vitro* and *in vivo* comprising transfecting a packaging cell line of a retroviral vector system according to the invention with a retroviral vector according to the invention and infecting a target cell  
25 population with recombinant retroviruses produced by the packaging cell line. The nucleotide sequences are selected from one or more elements of the group consisting of genes or parts of genes encoding for therapeutic antimicrobial peptides, regulatory sequences and promoters.

30 The retroviral vector, the retroviral vector system and the retroviral provirus as well as RNA thereof is used for producing a pharmaceutical composition for somatic gene therapy in mammals including humans. Furthermore, they are

COPY

-18-

used for targeted integration in homologous cellular sequences.

Principle for the Construction of Procon Vectors for targeted gene expression

5 In the Murine Leukemia Virus (MLV) retroviral vector known as BAG (Price, J. et al., *Proc. Natl. Acad. Sci. USA*, 87:156-160 (1987)) the  $\beta$ -galactosidase gene is driven by the promiscuous (i.e. non-tissue specific) MLV promoter in the U3 region of the LTR (Figure 3). According to one  
10 embodiment the present invention a derivative of the BAG vector has been constructed in which the MLV promoter (U3) located within the 3'LTR (Figure 3) has been deleted and replaced with a polylinker, said polylinker allowing the facile introduction of heterologous promoters. The BAG  
15 vector lacking the U3 is expressed from the MLV promoter (U3) within the 5'LTR when introduced into a packaging cell line. As a result of the rearrangements occurring in the retroviral genome during its life cycle, following infection of its target cell, the polylinker will be  
20 duplicated at both ends of the retroviral genome as described above. Thereby a retroviral vector can be constructed in which the expression of the  $\beta$ -galactosidase gene of BAG will be controlled by the polylinker or any promoter inserted into the polylinker in the target cell  
25 (Figure 3).

Further, the replacement of  $\beta$ -galactosidase with a therapeutic gene such as one encoding melittin, cecropin or another antimicrobial peptide will result in a retroviral vector that can be manipulated to express this gene from  
30 any inserted promoter.

Procon vectors carrying tissues specific promoters and regulatory elements such as the Tat Responsive Element

COPY

-19-

(TAR) from HIV will be useful for directing the expression of the therapeutic naturally occurring antimicrobial peptide sequences or derivatives thereof to predefined cell types, tissues and organs. Potential therapeutic sequences include mellitin, which has anti-HIV and anti-tumour effects, cecropin and megainin sequences and sequences which prime cells for death including the thymidine kinase, guanine phosphoribosyltransferase and cytosine deaminase genes.

#### 10 BRIEF DESCRIPTION OF THE FIGURES

Figure 1 is a schematic of the mode of reverse transcription of a retrovirus.

Figure 2 is a schematic of retroviral vector constructs carrying melittin and cecropin coding sequences.

15 Figure 3 is a schematic of the construction of U3 minus BAG-vector (MLV).

Figures 4A-4C are schematics of the construction of the retroviral vector p125.Cecr.A carrying the PreProCecropin A gene.

20 Figures 5A-5C are schematics of the construction of the retroviral vectors pBAGpMel and pBAGppMel carrying respectively the PreMelittin and the PreProMelittin gene.

Figure 6 is a schematic of the pBAGpMel and pBAGppMel.

Figure 7 is a schematic of the pBAG.

25 Figures 8A-8B are schematics of the principle of S1 analysis of transfected Cecropin and Melittin clones.

Figures 9A-9G are graphs of days after injection versus tumor site showing antitumour activity of retroviral vectors carrying PreProCecropin coding sequences.

30 Figures 10A-10F are graphs of days after injection versus tumor site showing antitumour activity of retroviral vectors carrying Melittin coding sequences.

COPY

-20-

Figure 11 is a graph showing downregulation of expression from HIV LTR of retroviral vectors carrying Cecropin and Melittin coding sequences.

Figure 12 is a graph showing downregulation of expression of HIV LTR of retroviral vectors carrying Cecropin coding sequences.

Figures 13A-13B are graphs showing downregulation of LTR's from Mouse Mammary Tumour Virus (MMTV) by retroviral vectors carrying Cecropin and Melittin coding sequences.

#### 10 DETAILED DESCRIPTION OF THE INVENTION

The following examples will illustrate the invention further. These examples are however in no way intended to limit the scope of the present invention as obvious modifications will be apparent, and still other modifications and substitutions will be apparent to any skilled in the art.

The recombinant DNA methods employed in practicing the present invention are standard procedures, well known to those skilled in the art, and described in detail, for example, in Molecular Cloning, Sambrook, et al., Cold Spring Harbor Laboratory, (1989) and B. Perbal, A Practical Guide to Molecular Cloning, John Wiley & Sons (1984).

#### EXAMPLES

##### Construction of retroviral vectors carrying the

##### 25 PreProCecropin A sequence

##### Construction of p125gal

In the Murine Leukemia Virus (MLV) retroviral vector known as BAG (Price, J. et al., Proc. Natl. Acad. Sci. USA, 87:156-160 (1987)) the  $\beta$ -galactosidase gene is driven by the promiscuous (i.e. non-tissue specific) MLV promoter in the U3 region of the LTR (Figure 3). A derivative of the

COPY

-21-

BAG vector has been constructed in which the MLV promoter (U3) located within the 3'LTR (Figure 3) has been deleted by PCR. At this position a polylinker was inserted containing the restriction sites *Sac*II and *Mlu*I allowing the facile introduction of heterologous promoters. The BAG vector lacking the U3 is expressed from the MLV promoter (U3) within the 5'LTR when introduced into a packaging cell line. As a result of the rearrangements occurring in the retroviral genome during its life cycle, following infection of its target cell, the polylinker will be duplicated at both ends of the retroviral genome as described in Danish patent application no. 1017/94. Thereby a retroviral vector can be constructed in which the expression of the  $\beta$ -galactosidase gene of BAG will be controlled by the polylinker or any promoter inserted into the polylinker in the target cell (Figure 3).

The Mouse Mammary Tumour Virus (MMTV) U3-region (mtv-2) without the inverted repeats, which contains the MMTV promoters as well as a region that confers responsiveness to glucocorticoid hormones and a region containing an element that directs expression to the mammary gland was inserted into the polylinker region of the modified BAG vector to produce p125gal (Figure 4A).

#### Construction of p125CecrA

Figures 4A-4C illustrate the construction of the retroviral vector p125CecrA. The plasmid pCecrA (obtained from Boman, H.G. et al., *Ann. Rev. Immunol.*, 13:1-51 (1995)) and illustrated in Figure 4A carries the cDNA of the PreProCecropin A gene from the giant silk moth (*Hyaophora cecropia*) cloned in front of the bacterial *sp6* promoter. The PreProCecropin sequence was obtained by

COPY

-22-

amplification by PCR from this plasmid using the following primers:

Primer 1:           CecrA1       5'-TATGACGTC-TCGTTAGAACGCGGCT-3'  
(SEQ ID NO: 3)

5 Primer 2:           CecrA3       5'-GGCAGATCT-TAAATGTATCATGCAAT-3'  
(SEQ ID NO: 4)

CecrA1 carries an AatII restriction site (GACGTC) (SEQ ID NO: 5) in the 5' extension with a 3 base pair protection (TAT). Similarly CecrA3 carries a BglII site (AGATCT) (SEQ ID NO: 6) in the 5' extension with a 3 base pair protection (GGC).

The PCR product (370 bps) was then digested with AatII and BglII to make the restriction sites available for cloning (Figure 4B).

15 P125gal was digested with the restriction enzymes AatII and BamHI resulting in a fragment of 5043 base pairs (Figure 4B) and ligated to the fragment carrying the PreProCecropin A gene from pCerca. This resulted in the formation of p125.Cerca (Figure 4C), the BamHI/BglII sites  
20 being lost.

Construction of retroviral vectors carrying the  
PrePreMelittin and PreMelittin sequence

Figures 5A-5C illustrate the construction of the retroviral vectors pBAGpMel and pBAGppMel. The plasmid  
25 pUM13/4 (Vlasak, R., Uger-Ullmann, C., Keil, G. and Frischauf, A-M, *Eur. J. Biochem.*, 135:123-126 (1989)) (Figure 5A) carries the cDNA encoding the PrePromelittin gene from *apis mellifera*. The PreProMelittin and the Premelittin sequence was obtained by amplification by PCR  
30 using the following primers:



Primer 3': 5'-AACTGCTCCAATTCC-CGCATAGATGTAAGAAATGT-3'  
(SEQ ID NO: 10)

The amplification of the PreMelittin sequence was carried out using a combination of conventional PCR and recombinant PCR. Primer 3, though binding in the melittin gene, carries a 5' extension that corresponds to the 3' sequence of the Pre sequence. Similarly, the Primer 3', though binding in the 3' region of the Pre sequence, carries a 5' extension corresponding to the sequence in the 5' region of the melittin gene. Initially a PCR was made with either primer pair 1 and 3' or 2 and 3. The products from these two reactions were then used to make a recombinant PCR. This entailed hybridising the two PCR products with each other using the 5' extensions that were carried in the primers 3 and 3'. Subsequent addition of primers 1 and 2, which adds the AatII and BamHI sites for cloning into pBAG allowed the amplification of the entire

COPY

-24-

PreMelittin sequence (Figure 5B). Plasmid pBAGpMel (Figure 6) was produced by digestion of this PCR product and pBAG with AatII and BamHI followed by ligation of the fragments.

#### Isolation of clones

- 5 EJ cells were transfected with p125.Cerca and the clones expressing neomycin resistance were isolated. Six clones were isolated: Cecropin A1.4, Cecropin A1.7, Cecropin A1.8, Cecropin A10.3, Cecropin A10.4, and Cecropin A10.8.
- 10 Similarly Ej cells were transfected with pBAGpMel and pBagppMel and the clones expressing neomycin resistance were isolated. Three clones containing the premelittin gene were isolated: Pre Melittin 1, Pre Melittin 4, and Pre Melittin 6. Two clones containing prepromelittin were
- 15 isolated: Pre Pro Melittin 1, and Pre Pro Melittin 5.

#### S1 analysis of transfected Cecropin/Melittin clones

- An S1 analysis was performed using pBAG digested with SpeI as a probe (Figure 7), the resulting 6.1 kb fragment comprising 3'LTR, polyoma early region and 5'LTR. The
- 20 fragment was then end-labeled using polynucleotide kinase with 32P and hybridized against total RNA isolated from Ej (human bladder carcinoma cell line) cell clones that had been transfected with retroviral vector constructs bearing either preprocecropin (clones A1.4, A1.7, A1.8, A10.4, A10.3, and A10.8), premelittin (clones 1, 4 and 6), and
- 25 prepromelittin (clones 1 and 5) or the empty vector alone (pBAG clones 3 and 6) (Figure 8A).

- There followed an S1 nuclease digestion (Figure 8B), the enzyme cleaving only single stranded nucleic acids,
- 30 resulting in a 288 bp fragment arising from the hybridization of the probe to the mRNA coming from the

COPY

-25-

retroviral vector that is present in the genomic DNA of the transfected clones.

Subsequent separation using a 6% polyacrylamide gel and exposure of the gel using a Phosphor-imaging system  
5 (Fuji BAS1000) revealed the expected bands.

#### Antitumour experiments

Many amphipathic polypeptides are synthesized in a preproform which is inactive (Boman H.G., *Ann. rev. Immunol.*, 13:1-51 (1995)). The endopeptidase that cleaves  
10 of the presignal peptide in a co-translational process is thought to be present in all cells whereas the protease that converts promelittin to the active melittin form appears to be present only in certain cells (Kreil G., *et al.*, *Eur. J. Biochem.*, 111:49-58 (1980)).

15 The human bladder carcinoma derived cell line, EJ, gives tumours upon injection into immuno compromised nude mice which grow progressively larger (Figures 9A-9G). The stable clones of Ej cells carrying the melittin or cecropin expression constructs obtained as described above were  
20 tested for their tumorigenicity in nude mice. Generally, cell clones carrying the cecropin, prepromelittin, or premelittin genes show a reduced rate of tumour growth in mice (Figures 9A-9G and 10A-10F), i.e. both melittin and cecropin have anti-tumour effects. In a separate  
25 experiment the Cecropin A1.4 clone was tested in 4 mice, only of which showed a tumour.

#### Anti-viral activity

EJ derived cell clones carrying the melittin or cecropin expression vector or the parental BAG vector, not  
30 carrying a therapeutic gene, were supertransfected with an indicator construct carrying the HIV LTR (and thus the HIV

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promoter) linked to a firefly luciferase reporter gene (HIV-luc) in the absence or presence of a separate construct expressing Tat. In the absence of Tat there was little luciferase activity detectable from the HIV-luc  
5 construct in all cell clones as expected since the HIV promoter requires Tat for its activity. In contrast, in the presence of Tat, cell clones carrying BAG or a premelittin carrying construct show significant levels of luciferase expression, whereas a cell clone transfected  
10 with either a prepromelittin or a cecropin expression construct showed little luciferase expression (Figures 11 and 12). This suggests that cecropin, prepromelittin and to a lesser extent premelittin inhibit the Tat driven expression from the HIV LTR. Thus the production of HIV  
15 from infected cells will be inhibited by the antimicrobial peptide gene carrying therapeutic retroviral vector. This effect is expected to lead to a lack of virus production from HIV infected cells.

A similar experiment to that of Figure 11 and 12 can  
20 be seen in Figures 13A-13B whereby two different MMTV LTR's controlling the expression of a luciferase reporter gene (Wintersberger et al., *Proc. Natl. Acad. Sci. USA*, 92: 2745-2749) were separately supertransfected into cells carrying either PreProCecropin or PreProMelittin under  
25 control of an MLV promoter. These experiments showed that the downregulatory effect of Cecropin is not restricted to the HIV promoter, but also work with another retroviral vector.

In conclusion the present invention provides  
30 therapeutic products for the treatment of retroviral infections including HIV, tumours, bacterial and viral infections comprising vector constructs carrying genes or

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-27-

derivatives thereof of therapeutic active peptides including those for melittins, cecropins and magainins.

## EQUIVALENTS

While this invention has been particularly shown and  
5 described with references to preferred embodiments thereof,  
it will be understood by those skilled in the art that  
various changes in form and details may be made therein  
without departing from the spirit and scope of the  
invention as defined by the appended claims. Those skilled  
10 in the art will recognize or be able to ascertain using no  
more than routine experimentation, many equivalents to the  
specific embodiments of the invention described  
specifically herein. Such equivalents are intended to be  
encompassed in the scope of the claims.

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-28-

## CLAIMS

What is claimed is:

1. A recombinant vector for introducing DNA into an eucaryotic cell, the vector comprising, in operable linkage,
- 5 a) the DNA of or corresponding to at least a portion of a retroviral vector, which portion is capable of infecting and directing the expression of a coding sequence in target cells; and
- 10 b) one or more coding sequences wherein at least one sequence encodes for a naturally occurring therapeutic antimicrobial peptide or a derivative thereof
- 15 for the treatment of at least one disease selected from the group consisting of: mammalian tumors, viral infections, bacterial infections and fungal infections.
2. The recombinant vector comprising in operable linkage,
- 20 a) a 5' long terminal repeat region comprising the structure U3-R-U5;
- b) one or more of said coding sequences wherein at least one sequence encodes for a naturally occurring therapeutic antimicrobial peptide or a derivative thereof; and
- 25 c) a 3' long terminal repeat region comprising a completely or partially deleted U3 region wherein said deleted U3 region is replaced by a polylinker sequence, followed by the R and U5 region to undergo promoter conversion

COPY

-29-

for the treatment of at least one disease selected from the group consisting of: mammalian tumors, viral infections, bacterial infections and fungal infections.

- 5 3. The recombinant vector according to Claim 1, wherein said coding sequence encodes the amino acid sequence of a peptide selected from the group consisting of: melittin; premelittin; prepromelittin; cecropin; prececropin; preprocecropin; magainin; apidaecin; defensin; parts, analogues and homologues thereof; and combinations thereof.
- 10
4. The recombinant vector according to Claim 2, wherein said polylinker sequence comprises at least one unique restriction site and, optionally, at least one insertion of a heterologous DNA fragment.
- 15
5. The recombinant vector of Claim 4 wherein said heterologous DNA fragment regulates the expression of at least one of the coding sequences of said retroviral vector, and comprises at least one or more elements selected from the group consisting of: regulatory elements and promoters.
- 20
6. The recombinant vector according to Claim 1 further comprising at least one non-coding sequence selected from the group consisting of: regulatory elements and promoters, which regulate the expression of at least one of the coding sequences.
- 25

COPY

-30-

7. The recombinant vector according to Claim 6, wherein said regulatory elements and promoters are regulatable by transacting molecules.
8. The recombinant vector according to Claim 4, wherein said heterologous DNA fragment encodes a peptide selected from the group consisting of marker peptides, therapeutic peptides, cell cycle regulatory peptides, tumor suppressor peptides, antiproliferation peptides and cytokines.
9. A recombinant retroviral vector system comprising:
- a) a recombinant vector for introducing DNA into an eucaryotic cell, the vector comprising, in operable linkage,
    - i) the DNA of or corresponding to at least a portion of a retroviral vector, which is capable of infecting and directing the expression of a coding sequence in target cells; and
    - ii) one or more coding sequences wherein at least one sequence encodes for at least one naturally occurring therapeutic antimicrobial peptide or a derivative thereof; and
  - b) a packaging cell line harboring at least one retroviral construct coding for proteins required for said retroviral vector to be packaged, for the treatment of at least one disease, selected from mammalian tumors, viral infections, bacterial infections and fungal infections.



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10. The recombinant retroviral vector system according to Claim 9, wherein said retroviral vector comprises, in operable linkage,
- a) a 5' long terminal repeat region comprising the structure U3-R-U5;
  - b) one or more of said coding sequences; and
  - c) a 3' long terminal repeat region comprising a completely or partially deleted U3 region wherein said deleted U3 region is replaced by a polylinker sequence, followed by the R and U5 region to undergo promoter conversion.
11. A retroviral particle produced by transfecting a packaging cell line of a retroviral vector system according to Claim 10 with the retroviral vector according to Claim 10.
12. A retroviral provirus produced by infection of target cells with a recombinant retroviral particle according to Claim 11 whereby the U3 sequence duplicated during the process of reverse transcription in the infected target cell and appears in the 5' long terminal repeat and the 3' long terminal repeat of the resulting provirus, and the U5 of the 5' long terminal repeat duplicated during the process of reverse transcription in the infected target cell and appears in the 3' long terminal repeat and in the 5' long terminal repeat of the resulting provirus.
13. The retroviral provirus of Claim 12 wherein said polylinker comprises heterologous DNA.

COPY

-32-

1. **Introduction**  
 2. **Background**  
 3. **Methodology**  
 4. **Results**  
 5. **Discussion**  
 6. **Conclusion**  
 7. **References**  
 8. **Appendix**  
 9. **Notes**  
 10. **References**  
 11. **Appendix**  
 12. **Notes**  
 13. **References**  
 14. **Appendix**  
 15. **Notes**  
 16. **References**  
 17. **Appendix**  
 18. **Notes**  
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 96. **Notes**  
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 98. **Appendix**  
 99. **Notes**  
 100. **References**

COPY

-33-

20. mRNA of a retroviral provirus according to Claim 12.

21. RNA of a vector according to Claim 1.

22. A host cell infected with a virion according to Claim 11.

- 5 23. A method for the treatment of a disease selected from the group consisting of: a genetic defect, cancer and viral infections, comprising administering to a subject in need thereof a therapeutically effective amount of a recombinant retroviral particle produced by transfecting a packaging cell line harboring at least one retroviral or recombinant retroviral construct coding for proteins required for said retroviral vector to be packaged, with a recombinant retroviral vector comprising, in operable linkage,
- 10
- 15 a) a DNA of or corresponding to at least a portion of a retroviral vector, which is capable of infecting and directing the expression of a coding sequence in target cells; and
- 20 b) one or more coding sequences wherein at least one sequence encodes for a naturally occurring therapeutic antimicrobial peptide or a derivative thereof.

24. The method according to Claim 23 wherein the coding sequence of encodes the amino acid sequence of a peptide selected from the group consisting of: melittin; premelittin; prepromelittin; cecropin; prececropin; preprocecropin; magainin; apidaecin; defensin; a part, analogue and homologue thereof; and combinations thereof.
- 25

COPY

-34-

25. The method according to Claim 23 for the treatment of human immunodeficiency virus infections comprising administering to a subject in need thereof a therapeutically effective amount of said recombinant retroviral particle wherein the coding sequence of said retroviral vector encodes for the amino acid sequence of cecropin or derivatives thereof.
- 5

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# VECTORS CARRYING THERAPEUTIC GENES ENCODING ANTIMICROBIAL PEPTIDES FOR GENE THERAPY

The present invention relates to recombinant vectors  
5 carrying sequences encoding naturally occurring  
antimicrobial peptides or derivatives thereof for the  
treatment of mammalian tumours and viral infections such as  
HIV infections and bacterial and fungal infections. In  
particular the present invention relates to retroviral  
10 vectors. Furthermore, the present invention relates to  
retroviral vectors which undergo promoter conversion  
(Procon vectors) carrying such sequences. Since these  
vectors also carry tumour or virus specific regulatory  
elements, the therapeutic antimicrobial peptide will be  
15 delivered and expressed only in relevant, affected cells  
and not in innocent bystander cells.

[illegible]

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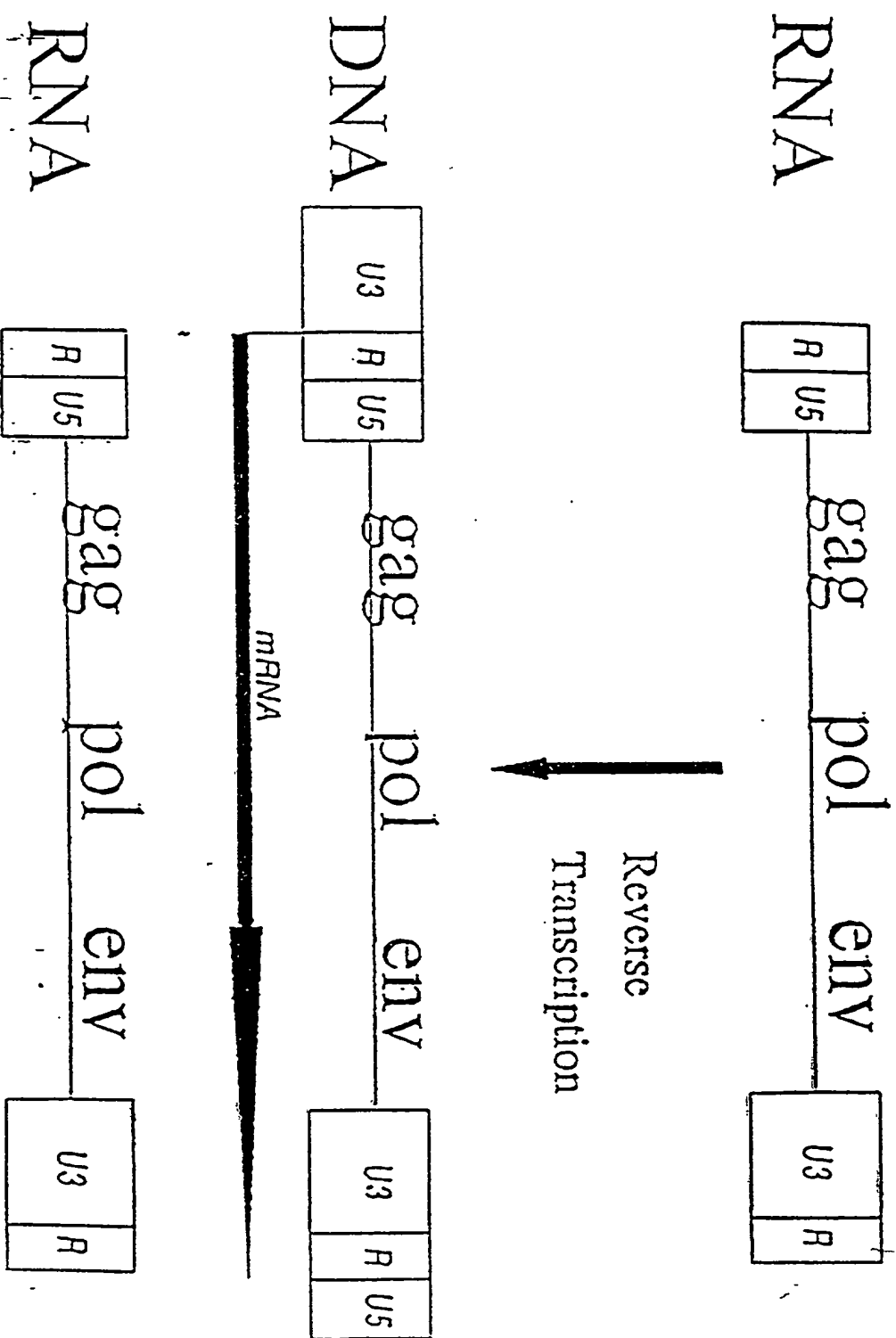


Fig. 1

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The Melittin & Cecropin Retroviral Vector Constructs

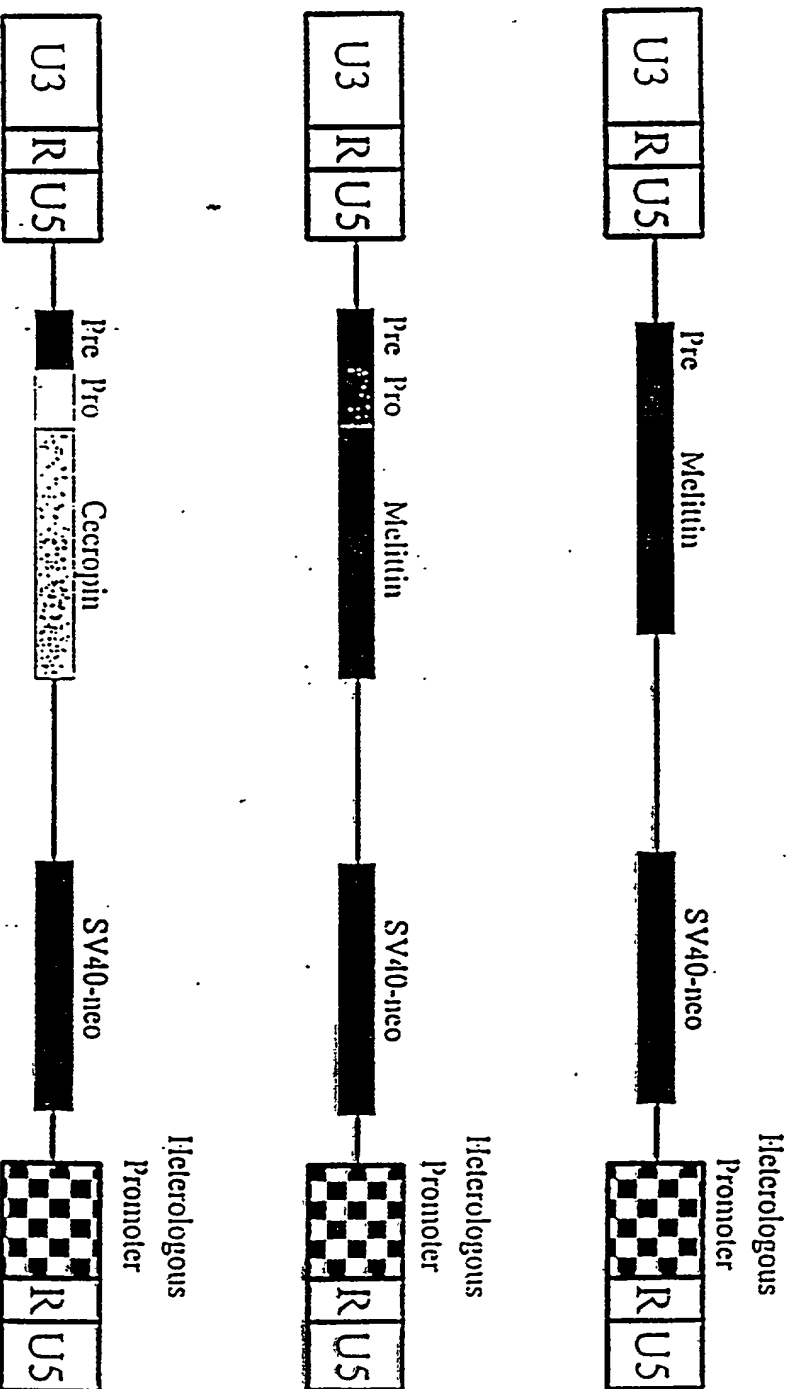


Fig. 2

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# Construction of a U3 minus BAG-vector (MLV)

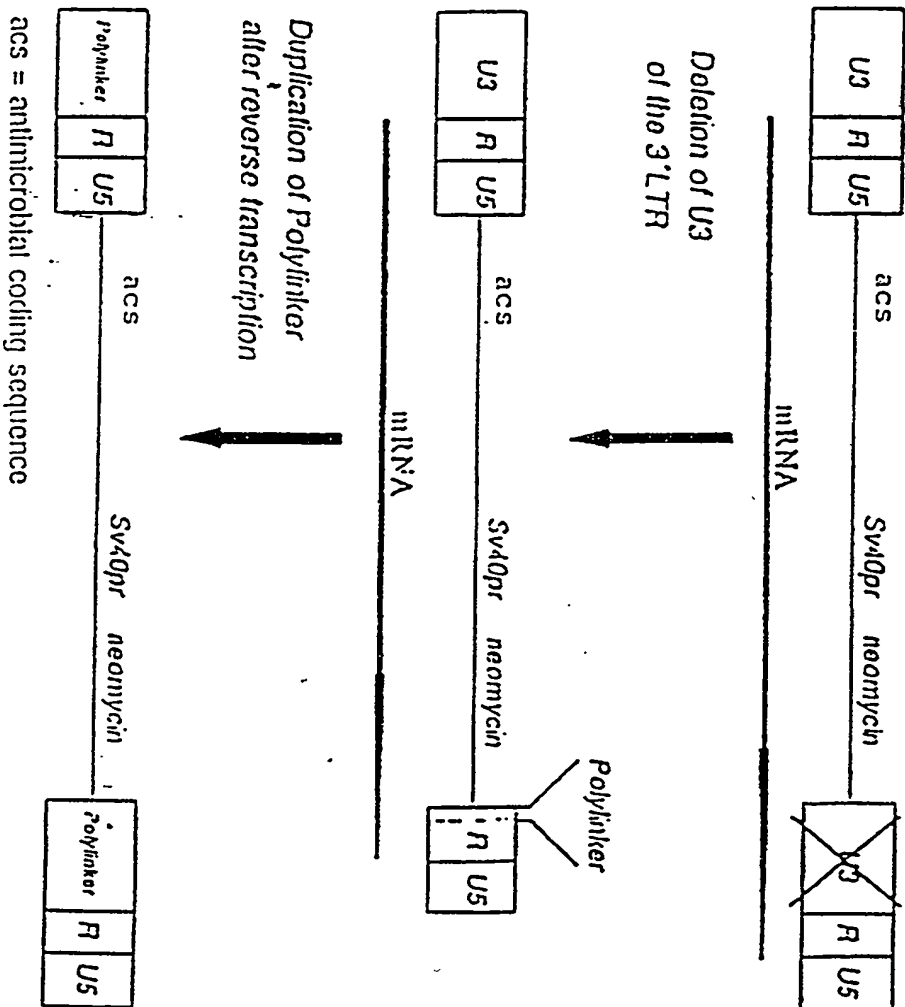


Fig. 3

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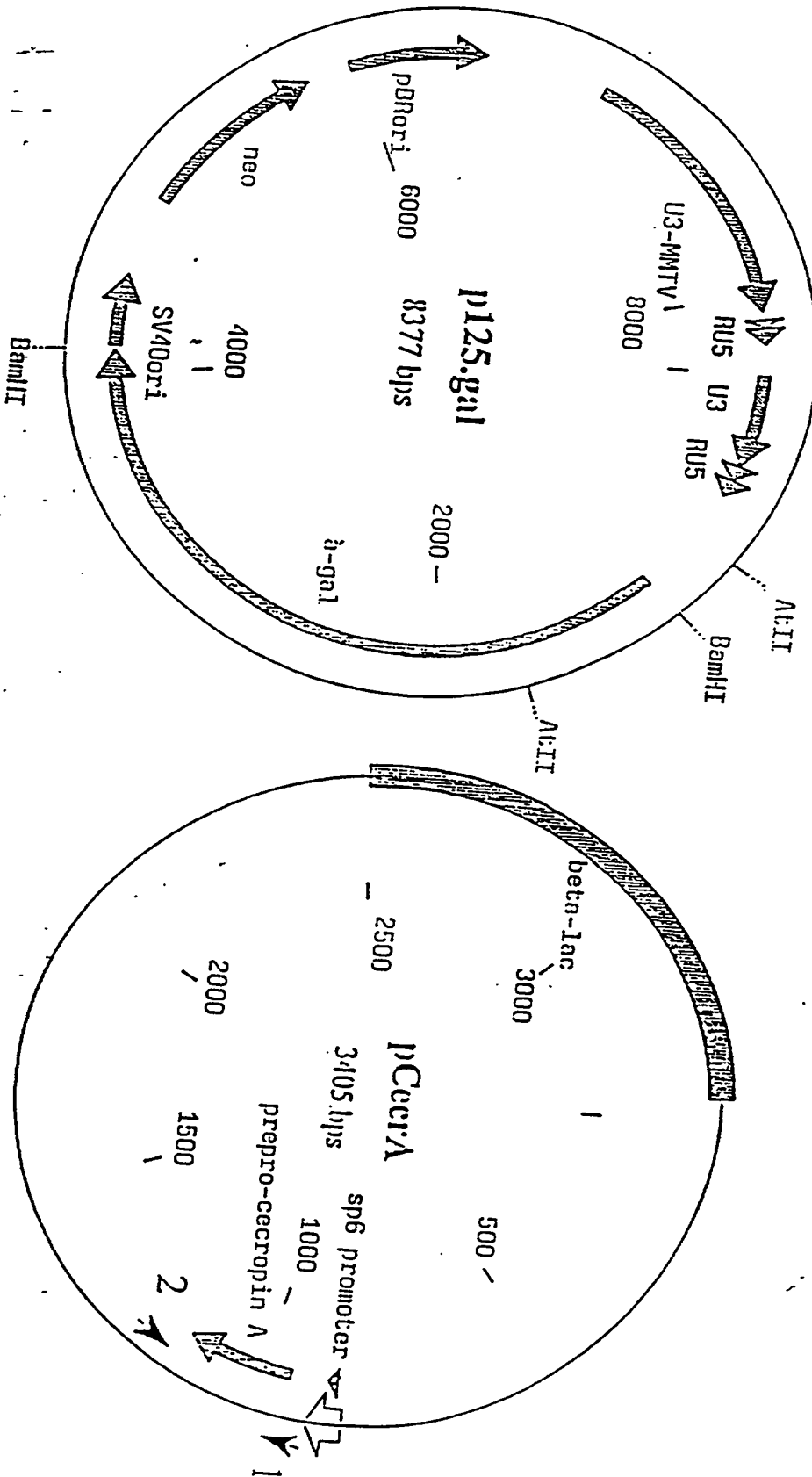


FIGURE 4A

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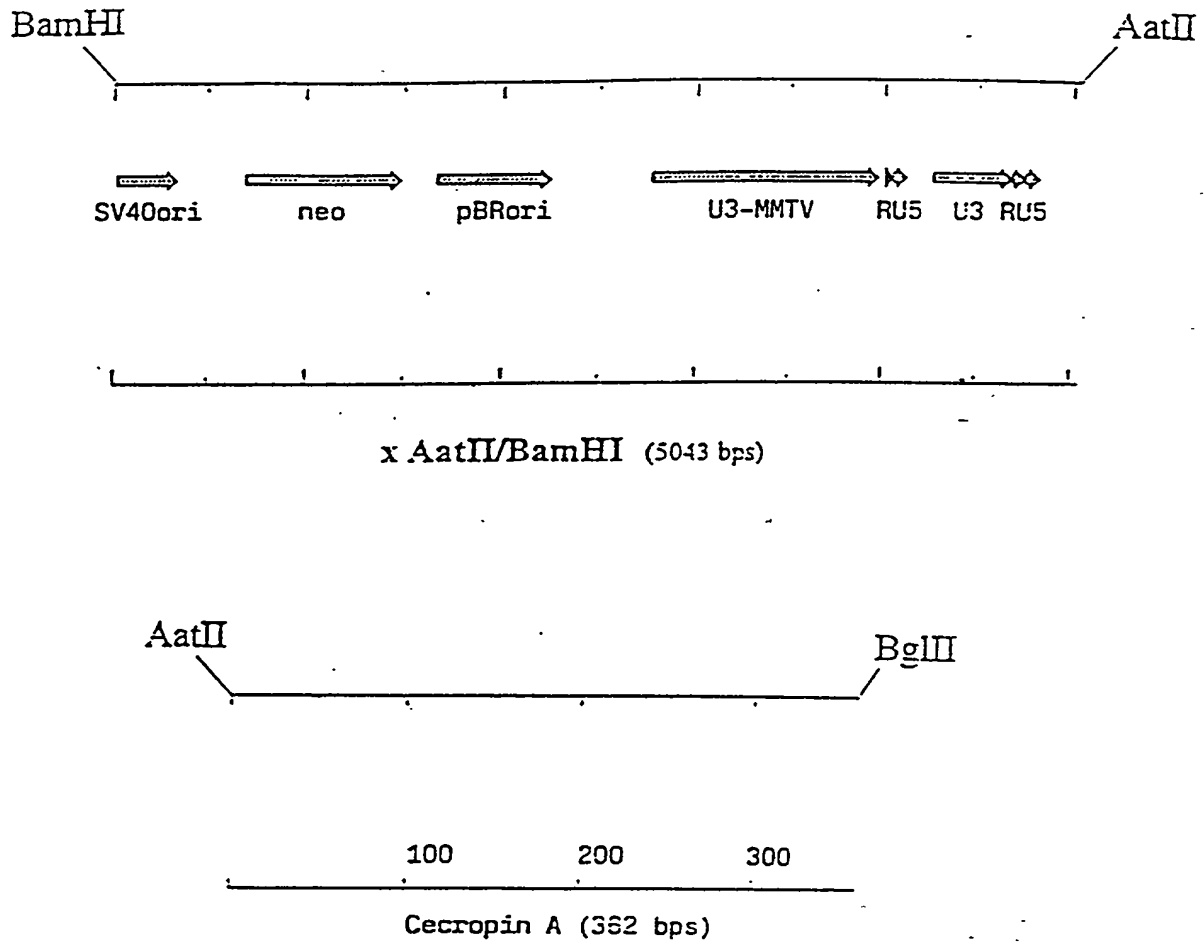
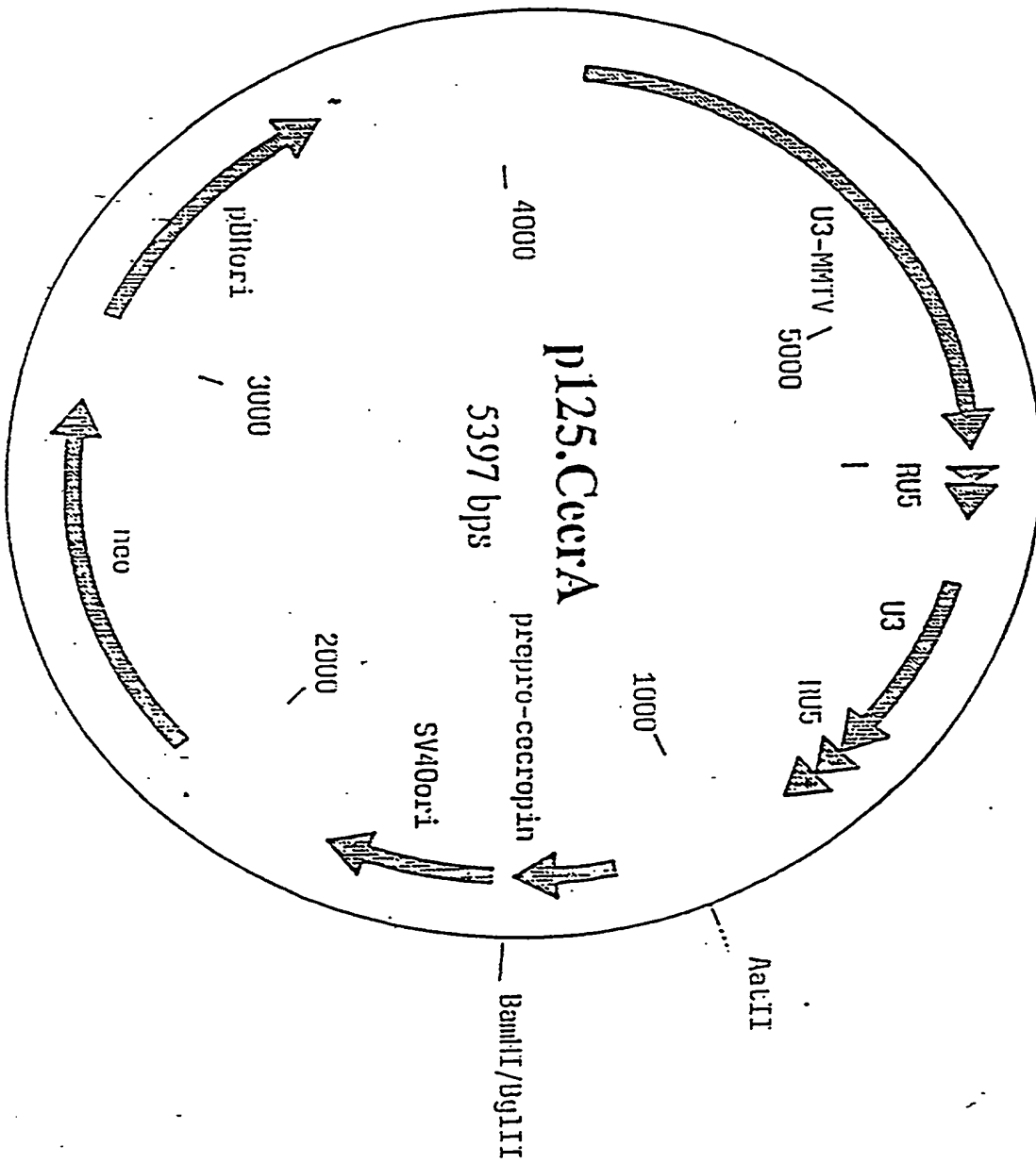


FIGURE 4B

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FIGURE 4C



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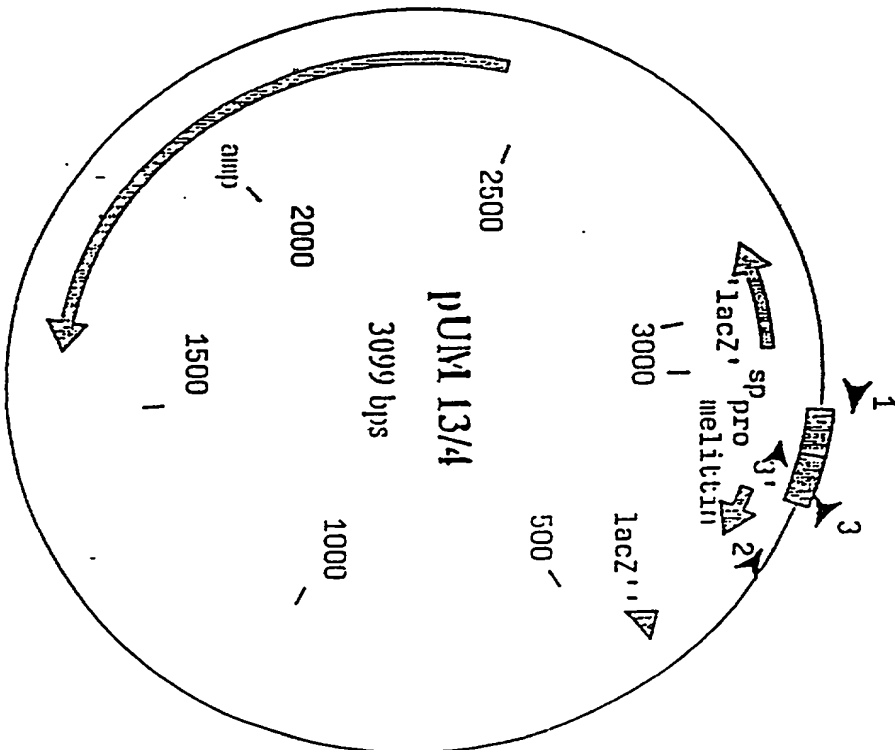


FIGURE 5A

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FIGURE 5B

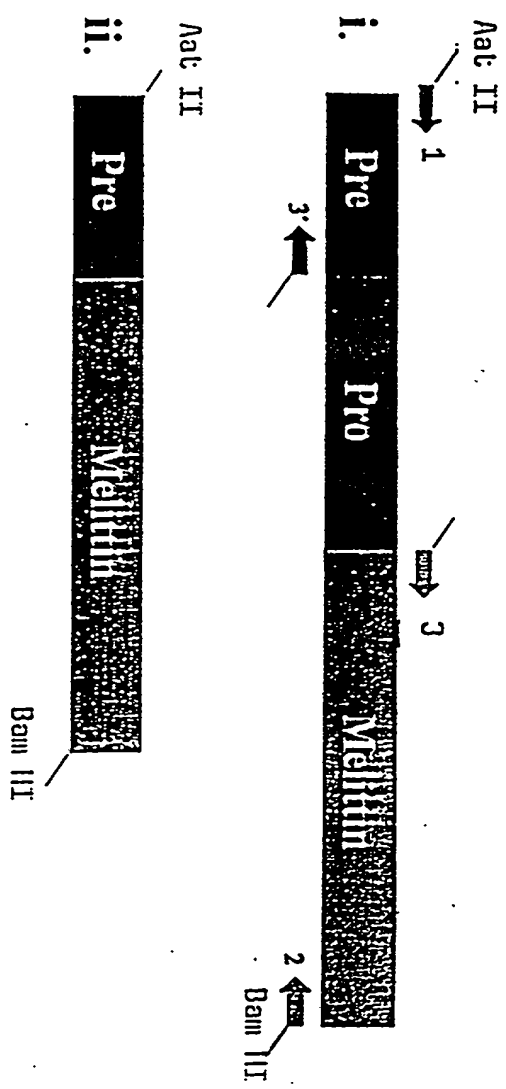
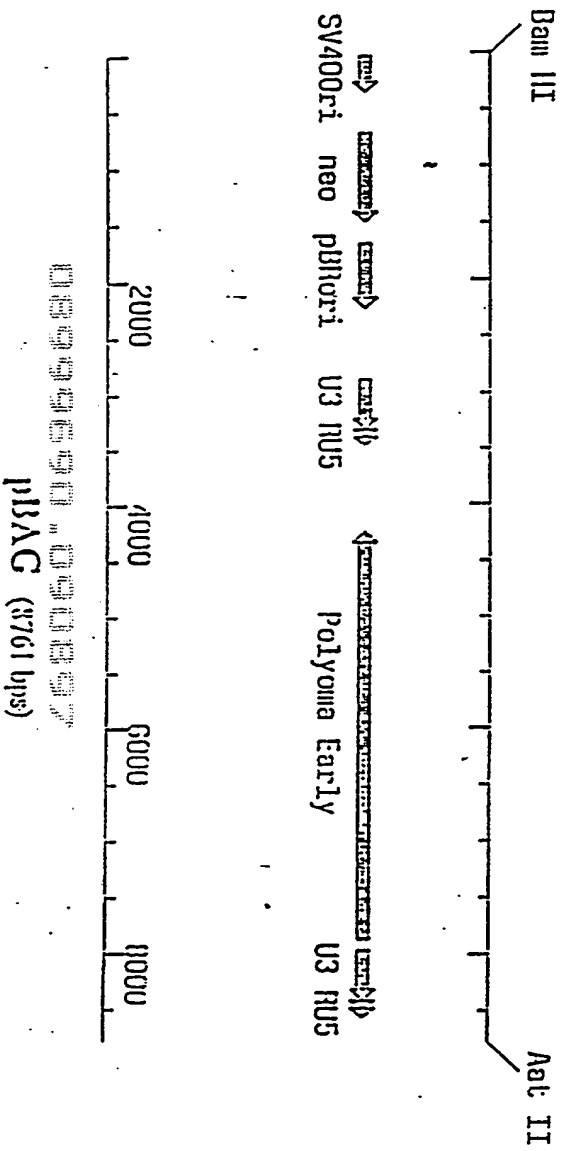


FIGURE 5C



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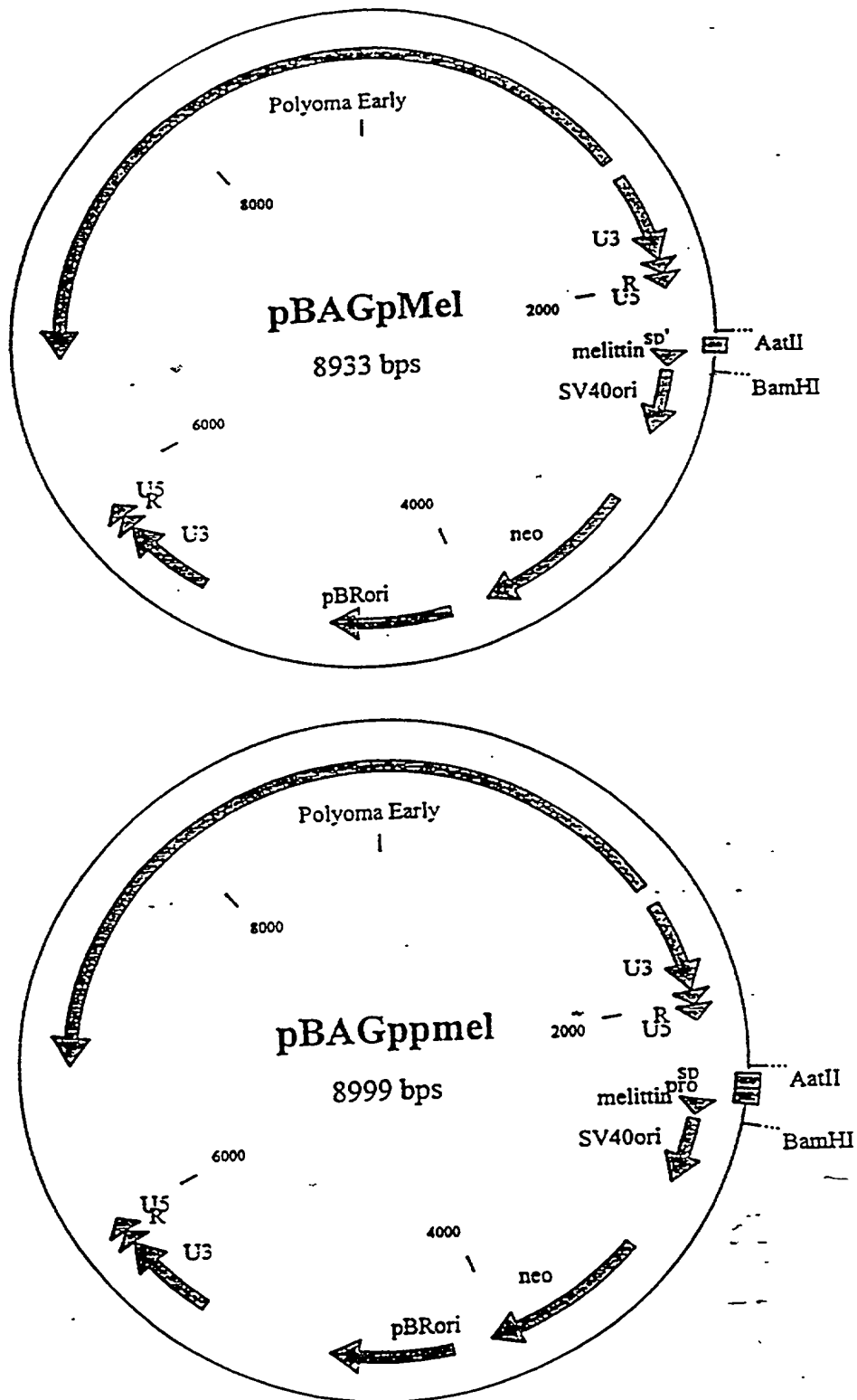


FIGURE 6

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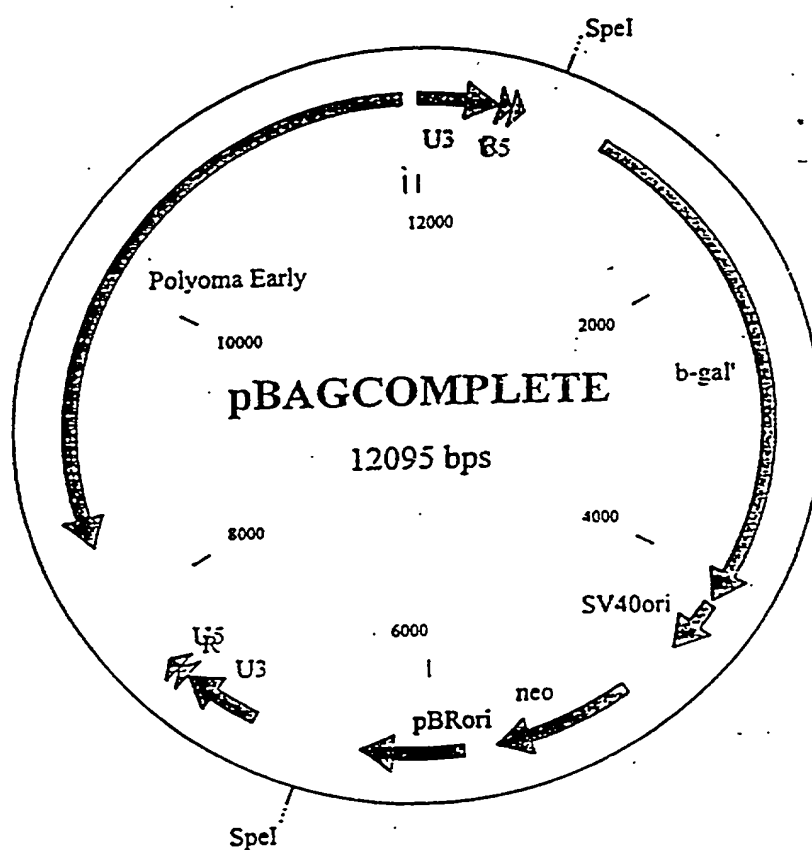


FIGURE 7

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FIGURE 8A

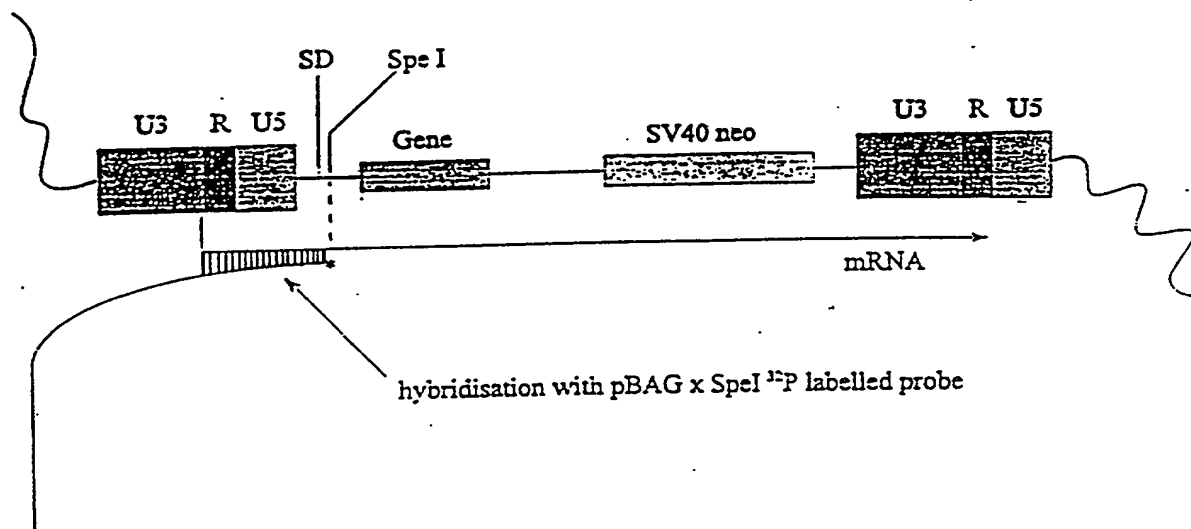
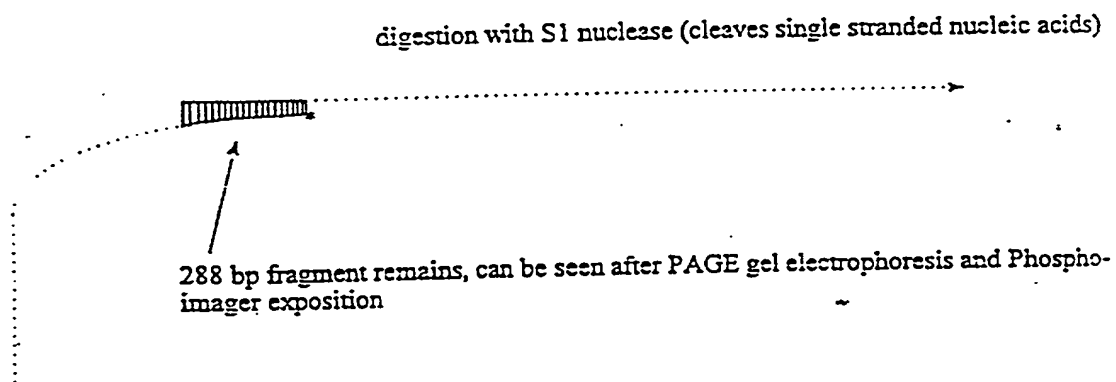


FIGURE 8B





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Figure 9A

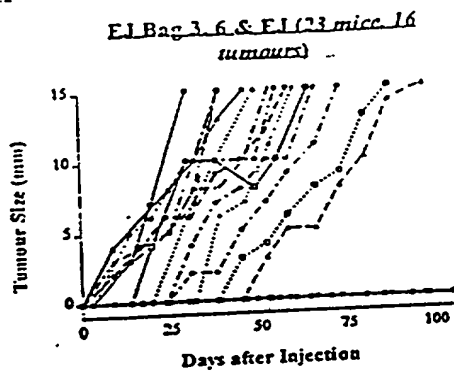


Figure 9E

Cecropin A1.3 (6 mice 2 tumours)

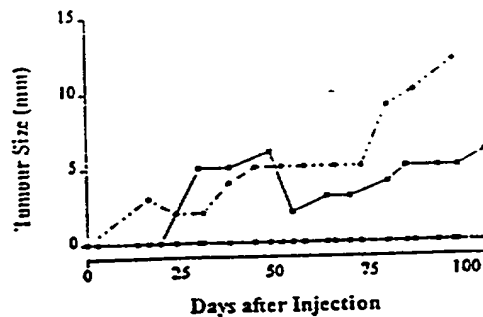


Figure 9B

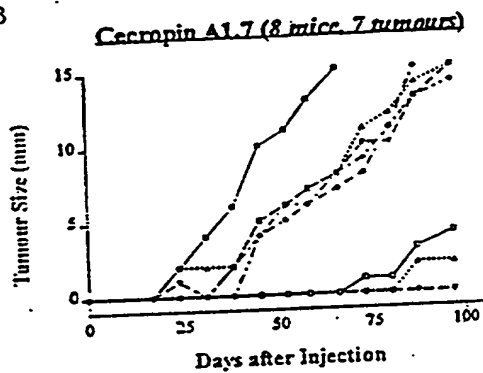


Figure 9F

Cecropin A1.8 (5 mice 3 tumours)

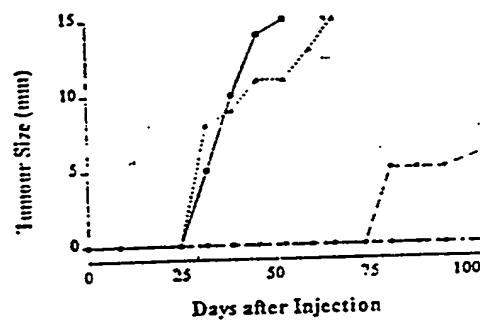


Figure 9C

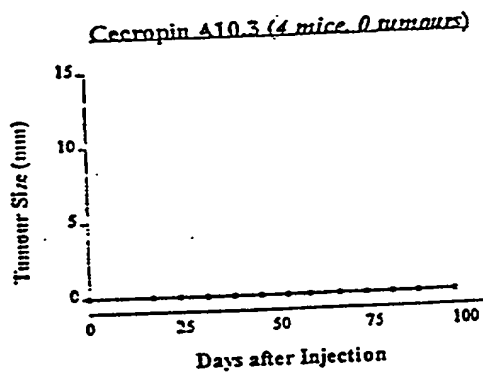


Figure 9G

Cecropin A10.4 (8 mice 0 tumours)

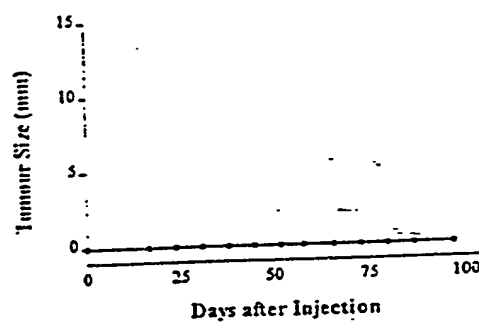
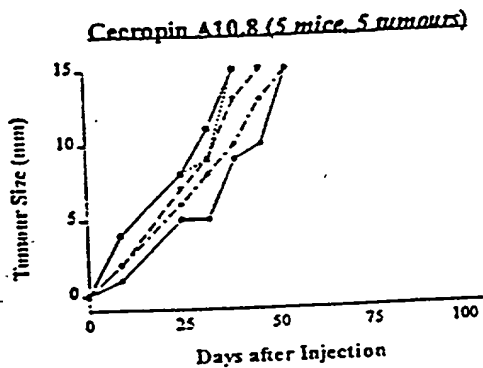


Figure 9D



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Figure 10A

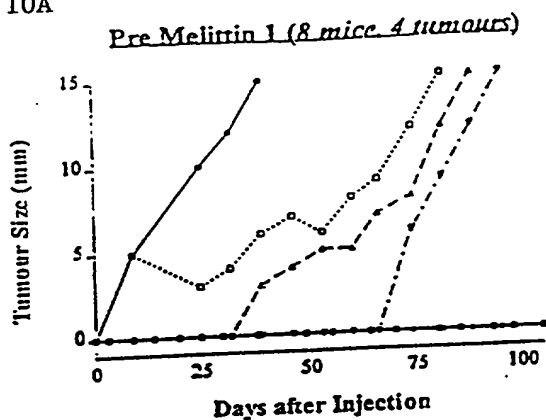


Figure 10D

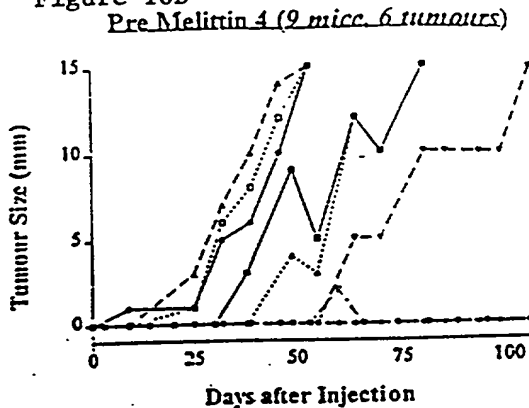


Figure 10B

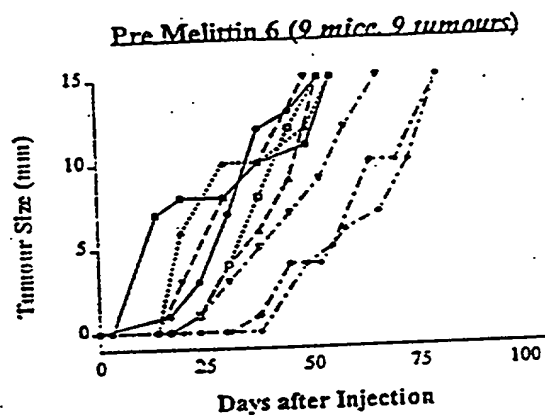


Figure 10E

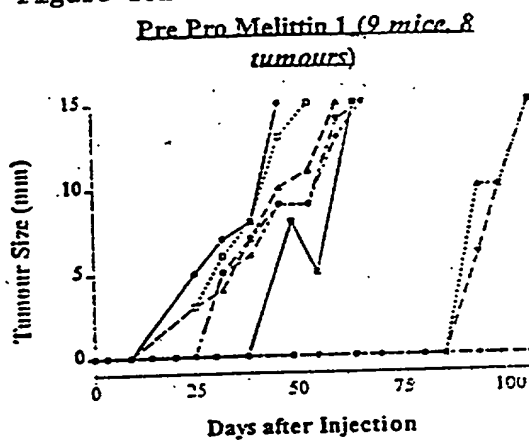


Figure 10C

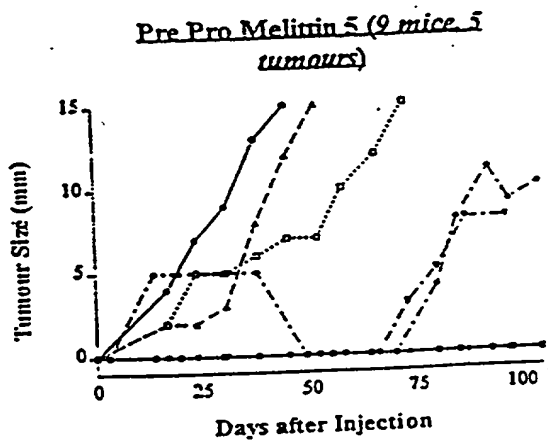


Figure 10F

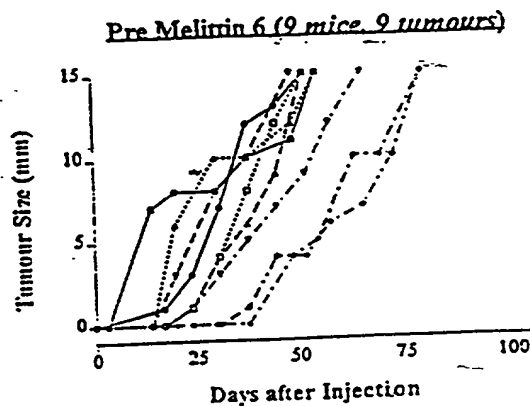
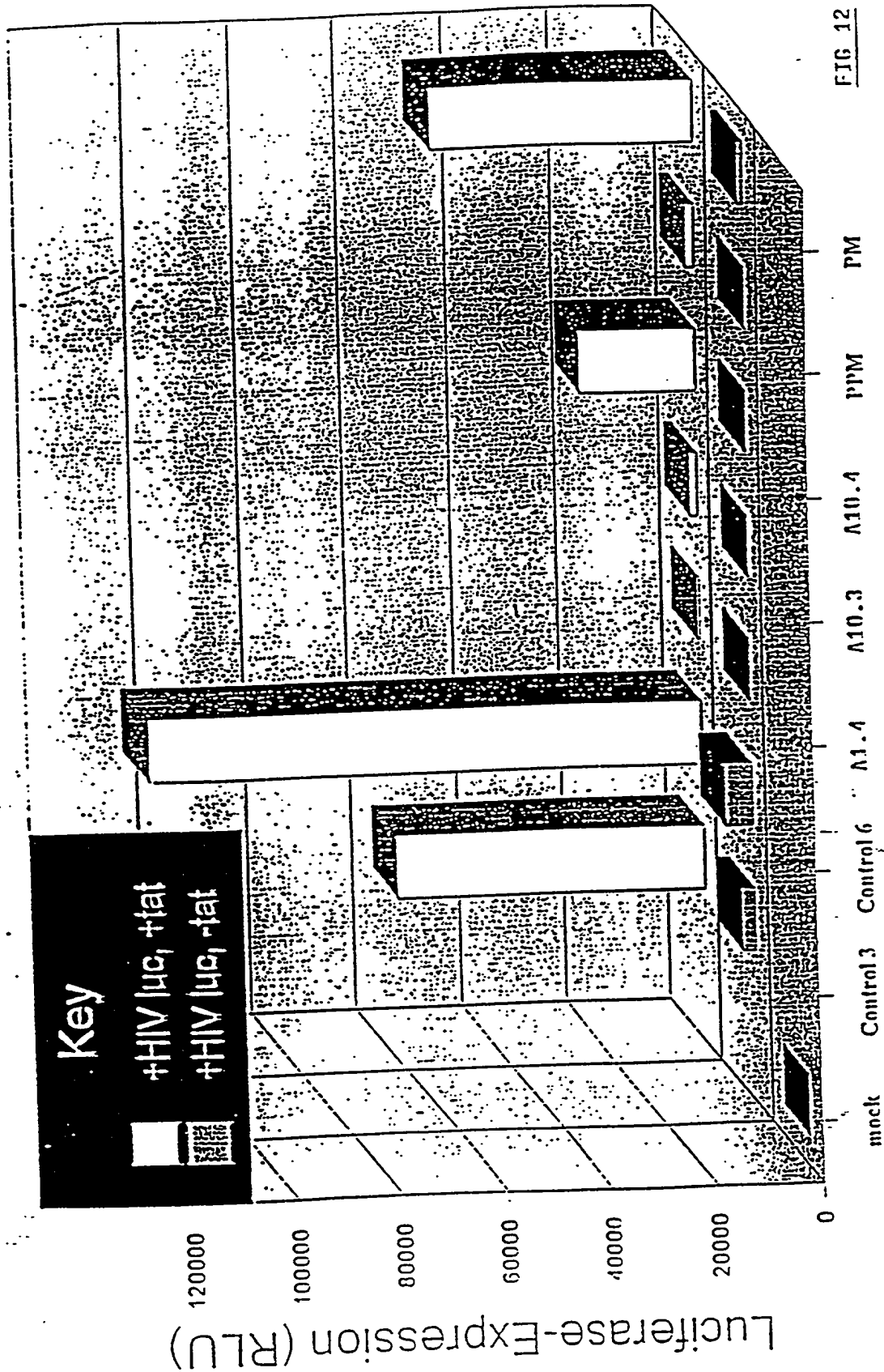


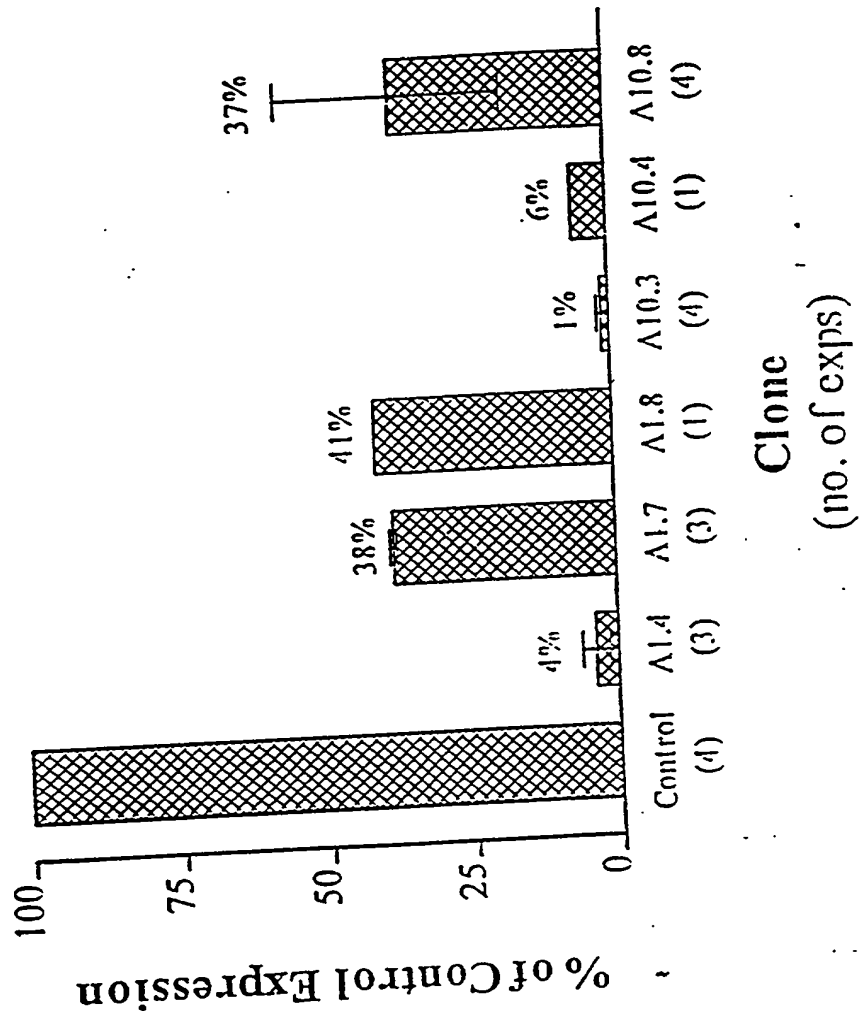
FIGURE 11



COPY

FIGURE 12

Percentage of HIV-driven control gene expression ( $\pm$  tat) in cells supertransfected with different clones expressing Cecropin



COPY

Figure 13A

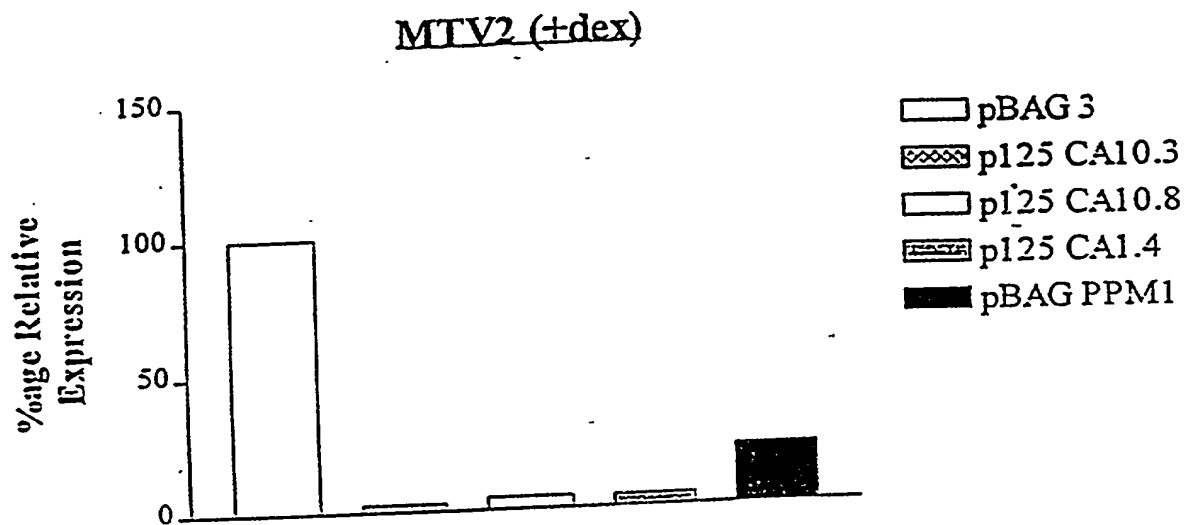
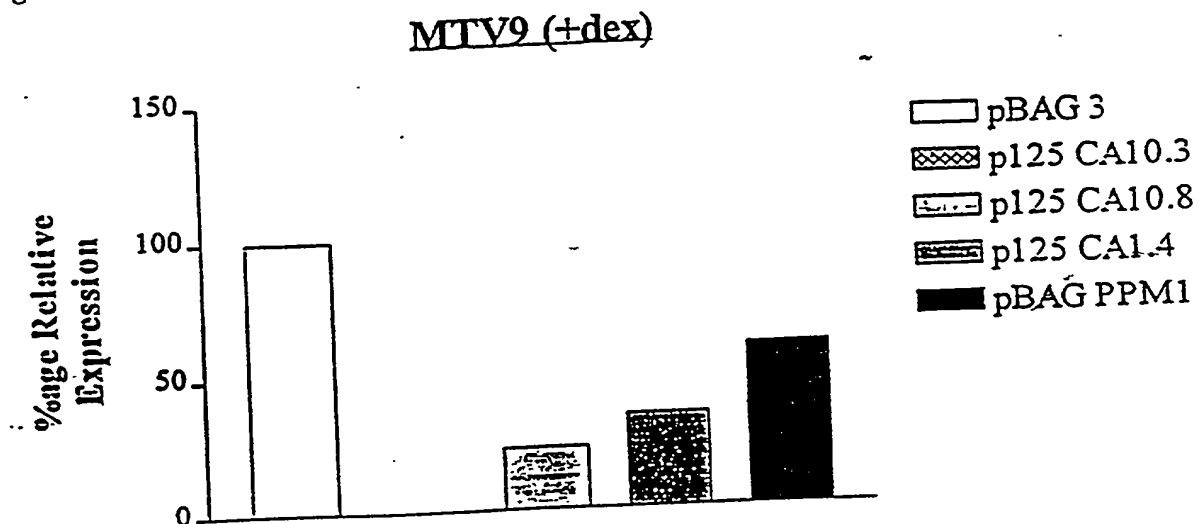
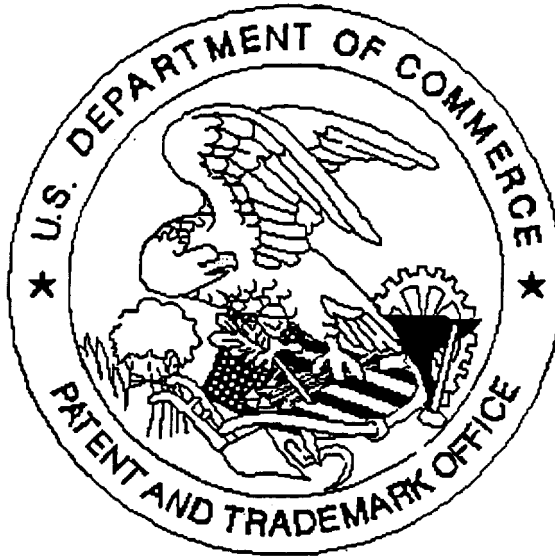


Figure 13B



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